

The background of the slide features a collage of various plastic bottles and a metal can. In the upper left, there is a blue aluminum can with a pull-tab lid, showing some text like "Nutrition Facts" and "VERY LOW SODIUM". To its right and slightly below is a clear plastic bottle with a blue screw cap. Further right is a green plastic bottle with a green screw cap. The background is a light blue gradient with a subtle pattern of bottle caps and bottle bodies. A white curved line separates the top image area from the text area.

# **Recycling Program Enforcement Branch – Quarterly Statistics**

**January 26, 2016**



# PROBATIONARY REVIEWS

| STATISTICS                           | Jul - Sept<br>2014 | Jul – Sept<br>2015 | %<br>Change |
|--------------------------------------|--------------------|--------------------|-------------|
| DORiis Auto-Generated Cases          | 245                | 216                | (12)%       |
| Recycling Centers Visited:           | 63                 | 70                 | 11%         |
| • Passed                             | 19                 | 18                 | (5)%        |
| • Extended                           | 49                 | 47                 | (4)%        |
| • Recommendation for Revocation      | 0                  | 0                  | 0%          |
| • Decertified during/result of visit | 1                  | 5                  | 400%        |



# PROBATIONARY REVIEWS

| STATISTICS                      | Jul - Sept<br>2014 | Sept - Jun<br>2015 | %<br>Change |
|---------------------------------|--------------------|--------------------|-------------|
| Recycling Centers Not Visited:  | 182                | 146                | (20)%       |
| • Passed                        | 21                 | 25                 | 19%         |
| • Extended                      | 114                | 106                | (7)%        |
| • Recommendation for Revocation | 0                  | 1                  | 100%        |
| • Not RCs                       | 30                 | 13                 | (57)%       |
| • Decertified / Abandoned       | 8                  | 0                  | (100)%      |
| • Ongoing Investigations        | 9                  | 1                  | (89)%       |





# PROBATIONARY REVIEWS

| STATISTICS        | Jul – Sept 2014 | Jul – Sept 2015 | %<br>Change |
|-------------------|-----------------|-----------------|-------------|
| Total Assessments | \$600,727       | \$ 25,161.28    | (96)%       |
| Restitution       | \$587,877       | \$ 9,111.28     | (98)%       |
| Civil Penalties   | \$19,850        | \$ 16,050.00    | (19)%       |



# HANDLING FEE REVIEWS

| STATISTICS                         | Jul – Sept 2015 |
|------------------------------------|-----------------|
| Handling Fee Applications Reviewed | 9               |
| Handling Fee Applications Denied   | 9               |
| Handling Fee Applications Released | 0               |

|                        |               |
|------------------------|---------------|
| Handling Fees Claimed  | \$ 102,020.40 |
| Handling Fees Denied   | \$ 102,020.40 |
| Handling Fees Released | \$ 0.00       |



# INSPECTIONS

| STATISTICS                       | Jul - Sept<br>2014 | Jul - Sept<br>2015 | %<br>Change |
|----------------------------------|--------------------|--------------------|-------------|
| Recycling Center Inspections     | 1,074              | 1,063              | (1)%        |
| Notices of Non-Compliance Issued | 360                | 241                | (33)%       |
| Notices of Violation Issued      | 167                | 178                | 7%          |
| Civil Penalty Assessments        | \$34,850           | \$42,900           | 23%         |



# INSPECTIONS

| STATISTICS                       | Jul - Sept<br>2014 | Jul - Sept<br>2015 | %<br>Change |
|----------------------------------|--------------------|--------------------|-------------|
| Dealer Inspections               | 85                 | 61                 | (28)%       |
| Notices of Non-Compliance Issued | 24                 | 19                 | (21)%       |
| Notices of Violation Issued      | 24                 | 8                  | (67)%       |
| Civil Penalty Assessments        | \$3,800            | \$1,950            | (49)%       |
| Complaints Resolved              | 108                | 14                 | (87)%       |





# INVESTIGATIONS

| STATISTICS                        | Jul - Sept<br>2014 | Jul – Sept<br>2015 | %<br>Change |
|-----------------------------------|--------------------|--------------------|-------------|
| Investigations Initiated          | 110                | 15                 | (86%)       |
| Investigations Closed             | 129                | 19                 | (85%)       |
| Fraud Detection Reviews Initiated | 11                 | 0                  | (100%)      |
| Fraud Detection Reviews Closed    | 20                 | 5                  | (75%)       |





# INVESTIGATIONS

| STATISTICS                         | Jul - Sept<br>2014 | Jul – Sept<br>2015 | %<br>Change |
|------------------------------------|--------------------|--------------------|-------------|
| Claimed Volume Reports Initiated   | 51                 | 18                 | (65%)       |
| Claimed Volume Reports Closed      | 49                 | 15                 | (69%)       |
| Recycler Load Inspection Initiated | 19                 | 13                 | (32%)       |
| Recycler Load Inspection Closed    | 14                 | 21                 | 50%         |



# INVESTIGATIONS

| STATISTICS  | Jul - Sept<br>2014 | Jul – Sept<br>2015 | %<br>Change |
|---|--------------------|--------------------|-------------|
| Call Center Cases Initiated                         | 37                 | 31                 | (16%)       |
| Call Center Cases Closed                            | 53                 | 21                 | (60%)       |
| Load Inspection/Reviews Conducted:                  | 1,729              | 1,068              | (38%)       |
| • Denied  | 37                 | 11                 | (70%)       |
| • Reduced   | 47                 | 4                  | (91%)       |
| Participants Placed on Prepayment<br>Control Status | NR                 | 9                  | -           |



# INVESTIGATIONS

| STATISTICS  | Jul - Sept<br>2014 | Jul – Sept<br>2015 | %<br>Change |
|---|--------------------|--------------------|-------------|
| Forensic Reviews Completed                            | 29                 | 19                 | (34%)       |
| Notices of Noncompliance Issued                       | 35                 | 31                 | (11%)       |
| Notices of Violation Issued                           | 18                 | 11                 | (39%)       |
| Recommendation to Revoke /<br>Terminate Certification | 2                  | 2                  | -           |
| Recommendation to Deny<br>Certification Applications  | 1                  | 2                  | 100%        |
| DOJ Referrals   | 12                 | 8                  | (33%)       |





# IMPORTED MATERIALS

| IMPORTED MATERIAL REPORT (IMR)<br>STATISTICS | Jul - Sept<br>2014 | Jul – Sept<br>2015 | %<br>Change |
|--|--------------------|--------------------|-------------|
| IMRs Received                                | 1,653              | 1,741              | 5%          |
| - Semi-Trucks                                | 64%                | 76%                | 19%         |
| - Loose                                      | 55%                | 48%                | (13%)       |
| - Single-Stream                              | 47%                | 52%                | 11%         |



# IMPORTED MATERIALS

| IMR STATISTICS | Jul - Sept<br>2014 | Jul – Sept<br>2015 | %<br>Change |
|----------------|--------------------|--------------------|-------------|
| Nevada         | 38%                | 37%                | (3%)        |
| Arizona        | 19%                | 22%                | 16%         |
| Utah           | 14%                | 16%                | 14%         |
| Colorado       | 4%                 | 1%                 | (75%)       |



# DOJ INVESTIGATIONS

| Jul – Sept 2015         | Investigations<br>Initiated | Investigations<br>Closed | Arrests |
|-------------------------|-----------------------------|--------------------------|---------|
| Northern Recycling Team | 4                           | 4                        | 2       |
| Southern Recycling Team | 2                           | 2                        | 2       |
| Total                   | 6                           | 6                        | 4       |





# ACCUSATIONS

**Accusations filed & served September –  
December 2015:**

| Participant Name | Date Filed | Amount Assessed |
|------------------|------------|-----------------|
| Valero Recycling | 09/30/15   | \$ 655,400.00   |
| Mejia Recycling  | 10/26/15   | \$ 517,066.72   |
| Jayden Recycling | 11/17/15   | \$ 714,228.12   |
|                  | TOTAL:     | \$ 1,886,694.84 |



# ACCUSATIONS

**Accusations resolved as of December 31, 2015 ~ filed  
& served after CY 2013:**

| Participant Name             | Date Filed | Amount Assessed | Date Finalized | Resolution    |
|------------------------------|------------|-----------------|----------------|---------------|
| Hip Hop Beverage Corporation | 11/13/14   | \$ 255,000.00   | 11/20/15       | \$ 130,000.00 |
|                              | TOTAL:     | \$ 255,000.00   | TOTAL:         | \$ 130,000.00 |



# ACCUSATIONS

**Unresolved accusations as of December 31, 2015 ~  
filed & served after CY 2013:**

| Participant Name         | Date Filed     | Amount Assessed        |
|--------------------------|----------------|------------------------|
| J & S Recycling          | 02/07/13       | \$ 206,258.61          |
| USA Recycling            | 04/24/13       | \$ 790,786.56          |
| Eagle Recycling          | 07/29/14       | \$ 2,749,279.41        |
| Helping Hands            | 06/09/15       | \$ 50,900.00           |
| Dougherty's Recycling    | 06/14/15       | \$ 181,500.00          |
| Junior Recycling         | 07/13/15       | \$ 661,000.00          |
| Enviro Recycling         | 08/24/15       | \$ 1,749,881.00        |
| American Green Recycling | 08/26/15       | \$ 43,000.00           |
| PNL Recycling            | 08/26/15       | \$ 2,065,289.00        |
| C R & R, Inc.            | 08/26/15       | \$ 200,704.00          |
|                          | <b>TOTALS:</b> | <b>\$ 8,698,598.58</b> |





# ACCUSATIONS

**Unresolved accusations as of December 31, 2015 ~  
filed & served before CY 2013:**

| Participant Name         | Date Filed | Amount Assessed |
|--------------------------|------------|-----------------|
| Piccadilly Imports, Inc. | 12/22/08   | \$ 10,990.87    |
| Villanueva Recycling     | 04/30/10   | \$ 149,434.94   |
|                          | TOTAL:     | \$ 160,425.81   |